

Please type a plus (+) sign in this box →

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

U.S. PTO

UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new non-provisional applications under 37 C.F.R. § 1.53(B))

Attorney Docket No. M61.12-0582

First Inventor or Application Identifier

Dong Yu et al.

Title AUTOMATIC SPEECH RECOGNITION LEARNING
USING USER CORRECTIONS

Express Mail Label No. EV388908048US

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

Address To:
Mail Stop Patent Application
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

1. <input checked="" type="checkbox"/> *Fee Transmittal Form e.g., PTO/SB17) (Submit an original and a duplicate for fee processing)	7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or Computer program (Appendix)
2. <input type="checkbox"/> Applicant Claims small entity status	8. Nucleotide and/or Amino Acid Sequence Submission (If applicable, all necessary)
3. <input checked="" type="checkbox"/> Specification [Total Sheets 31] (preferred arrangement set forth below - Descriptive title of the Invention) - Cross References to Related Applications - Statement Regarding Fed sponsored R & D - Reference to Microfiche Appendix - Background of the Invention - Brief Summary of the Invention - Brief Description of the Drawings (if filed) - Detailed Description - Claim(s) - Abstract of the Disclosure	a. <input type="checkbox"/> Computer Readable Copy b. Specification Sequence Listing on: i. <input type="checkbox"/> CD-ROM or CD-R (2 Copies); or ii. <input type="checkbox"/> Paper c. <input type="checkbox"/> Statement verifying identity of above copies
4. <input checked="" type="checkbox"/> Drawing(s) (35 U.S.C. § 113) [Total Sheets 4]	9. <input checked="" type="checkbox"/> Assignment Papers (cover sheet & document(s))
5. Oath or Declaration [Total Sheets 2]	10. <input type="checkbox"/> 37 C.F.R. § 3.73(b) Statement <input checked="" type="checkbox"/> Power of Attorney (when there is an assignee)
a. <input checked="" type="checkbox"/> Newly executed (original or copy)	11. <input type="checkbox"/> English Translation Document (if applicable)
b. <input type="checkbox"/> Copy from a prior application (37 C.F.R. § 1.63(d)) (for continuation/divisional with Box 18 completed)	12. <input type="checkbox"/> Information Disclosure Statement (IDS)/PTO - 1449 <input type="checkbox"/> Copies of IDS Citations
i. <input type="checkbox"/> <u>DELETION OF INVENTOR(S)</u> Signed statement attached deleting inventor(s) named in the prior application, see 37 C.F.R. §§1.63(d)(2) and 1.33(b).	13. <input type="checkbox"/> Preliminary Amendment
6. <input checked="" type="checkbox"/> Application Data Sheet. See 37 CFR 1.76	14. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) (Should be specifically itemized)
18. If a CONTINUATING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76: <input type="checkbox"/> Continuation <input type="checkbox"/> Divisional <input type="checkbox"/> Continuation-in part (CIP) of prior application No. / Prior application information: Examiner _____ Group/Art Unit: _____	15. <input type="checkbox"/> Certified Copy of Priority Document(s) (if foreign priority is claimed)
FOR CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.	

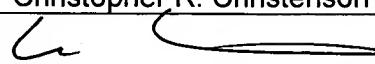
17. CORRESPONDENCE

Customer Number or Bar Code Label

(Insert Customer No. or Attach bar code label here)

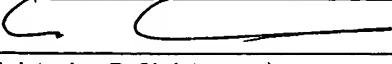
or Correspondence address below

Name	Christopher R. Christenson WESTMAN CHAMPLIN & KELLY				
Address	Suite 1600 – International Centre 900 South Second Avenue				
City	Minneapolis	State	MN	Zip Code	55402-3319
Country	USA	Telephone	(612) 334-3222		Fax (612) 334-3312

Name (Print/type)	Christopher R. Christenson	Registration No. (Attorney/Agent)	42,413
Signature			Date 1/20/04

FEE TRANSMITTAL

Complete if Known																																																																																																																																																																																																																																													
Application No.																																																																																																																																																																																																																																													
Filing Date			January 20, 2004																																																																																																																																																																																																																																										
First Named Inventor			Dong Yu et al.																																																																																																																																																																																																																																										
Title			AUTOMATIC SPEECH RECOGNITION LEARNING USING USER CORRECTIONS																																																																																																																																																																																																																																										
Group Art Unit																																																																																																																																																																																																																																													
Examiner Name																																																																																																																																																																																																																																													
Total Amount of Payment \$ 788			Atty. Docket Number M61.12-0582																																																																																																																																																																																																																																										
METHOD OF PAYMENT (Check One)			FEE CALCULATION (Continued)																																																																																																																																																																																																																																										
1. <input checked="" type="checkbox"/> The Director is hereby authorized to charge any additional fee required under 37 C.F.R. § 1.16 and 1.17, including any petition fee, and credit any over payments to Deposit Account No. <u>23-1123</u> . Westman, Champkin & Kelly, P.A.			3. ADDITIONAL FEES <table border="1"> <thead> <tr> <th colspan="2">Large Entity</th> <th colspan="2">Small Entity</th> <th rowspan="2">Fee Description</th> <th rowspan="2">Fee Paid</th> </tr> <tr> <th>Fee</th> <th>Fee</th> <th>Fee</th> <th>Fee</th> </tr> </thead> <tbody> <tr> <td><u>Code</u></td> <td><u>(\$)</u></td> <td><u>Code</u></td> <td><u>(\$)</u></td> <td></td> <td></td> </tr> <tr> <td>1051</td> <td>130</td> <td>2051</td> <td>65</td> <td>Surcharge - Late filing fee or oath</td> <td></td> </tr> <tr> <td>1052</td> <td>50</td> <td>2052</td> <td>25</td> <td>Surcharge - Late provisional Filing Fee or cover sheet</td> <td></td> </tr> <tr> <td>1053</td> <td>130</td> <td>1053</td> <td>130</td> <td>Non-English specification</td> <td></td> </tr> <tr> <td>1812</td> <td>2,520</td> <td>1812</td> <td>2,520</td> <td>For Filing a Request for Reexamination. (ex parte)</td> <td></td> </tr> <tr> <td>1251</td> <td>110</td> <td>2251</td> <td>55</td> <td>Extension for reply within first month</td> <td></td> </tr> <tr> <td>1252</td> <td>420</td> <td>2252</td> <td>210</td> <td>Extension for reply within second month</td> <td></td> </tr> <tr> <td>1253</td> <td>950</td> <td>2253</td> <td>475</td> <td>Extension for reply within third month</td> <td></td> </tr> <tr> <td>1254</td> <td>1,480</td> <td>2254</td> <td>740</td> <td>Extension for reply within fourth month</td> <td></td> </tr> <tr> <td>1255</td> <td>2,010</td> <td>2255</td> <td>1,005</td> <td>Extension for reply within fifth month</td> <td></td> </tr> <tr> <td>1402</td> <td>330</td> <td>2402</td> <td>165</td> <td>Filing a brief in support of an appeal</td> <td></td> </tr> <tr> <td>1403</td> <td>290</td> <td>2403</td> <td>145</td> <td>Request for oral hearing</td> <td></td> </tr> <tr> <td>1814</td> <td>110</td> <td>2814</td> <td>55</td> <td>Terminal Disclaimer Fee</td> <td></td> </tr> <tr> <td>1452</td> <td>110</td> <td>2452</td> <td>55</td> <td>Petition to Revive - unavoidable</td> <td></td> </tr> <tr> <td>1453</td> <td>1,330</td> <td>2453</td> <td>665</td> <td>Petition to Revive - unintentional</td> <td></td> </tr> <tr> <td>1501</td> <td>1,330</td> <td>2501</td> <td>665</td> <td>Utility/Reissue issue fee (inc. advance copies)</td> <td></td> </tr> <tr> <td>1502</td> <td>480</td> <td>2502</td> <td>240</td> <td>Design issue fee (inc. advance copies)</td> <td></td> </tr> <tr> <td>1460</td> <td>130</td> <td>1460</td> <td>130</td> <td>Petitions to the Commissioner</td> <td></td> </tr> <tr> <td>1807</td> <td>50</td> <td>1807</td> <td>50</td> <td>Petitions related to provisional applications</td> <td></td> </tr> <tr> <td>1806</td> <td>180</td> <td>1806</td> <td>180</td> <td>Submission of Information Disclosure Statement</td> <td></td> </tr> <tr> <td>8021</td> <td>40</td> <td>8021</td> <td>40</td> <td>Recording each patent assignment per property (times number of properties)</td> <td></td> </tr> <tr> <td colspan="6">Other Fee (specify) _____</td> </tr> <tr> <td colspan="3">Subtotal (1) \$ 770</td> <td colspan="3">Subtotal (3) \$</td> </tr> <tr> <td colspan="3">Number Claims</td> <td>Prior**</td> <td>Extra</td> <td>Fee from Below</td> </tr> <tr> <td>Total</td> <td>21</td> <td>20</td> <td>1</td> <td>18</td> <td>18</td> </tr> <tr> <td>Indep.</td> <td>2</td> <td>3</td> <td>0</td> <td>86</td> <td>0</td> </tr> <tr> <td colspan="6">Multiple Dependent Claims</td> </tr> <tr> <td colspan="6">** Insert 3 and 20, or number previously paid if greater; Reissue see below</td> </tr> <tr> <td colspan="3">Large Entity</td> <td colspan="3">Small Entity</td> </tr> <tr> <td>Fee</td> <td>Fee</td> <td>Fee</td> <td>Fee</td> <td colspan="2">Description</td> </tr> <tr> <td><u>Code</u></td> <td><u>(\$)</u></td> <td><u>Code</u></td> <td><u>(\$)</u></td> <td colspan="2"></td> </tr> <tr> <td>1202</td> <td>18</td> <td>2202</td> <td>9</td> <td colspan="2">Claims in excess of 20</td> </tr> <tr> <td>1201</td> <td>86</td> <td>2201</td> <td>43</td> <td colspan="2">Independent claims in excess of 3</td> </tr> <tr> <td>1203</td> <td>290</td> <td>2203</td> <td>145</td> <td colspan="2">Multiple Dependent Claims</td> </tr> <tr> <td>1204</td> <td>86</td> <td>2204</td> <td>43</td> <td colspan="2">Reissue Independent Claims over Original Patent</td> </tr> <tr> <td>1205</td> <td>18</td> <td>2205</td> <td>9</td> <td colspan="2">Reissue claims in excess of 20 and over original patent</td> </tr> <tr> <td colspan="3">Subtotal (2) \$ 18</td> <td colspan="3">Subtotal (3) \$</td> </tr> </tbody> </table>			Large Entity		Small Entity		Fee Description	Fee Paid	Fee	Fee	Fee	Fee	<u>Code</u>	<u>(\$)</u>	<u>Code</u>	<u>(\$)</u>			1051	130	2051	65	Surcharge - Late filing fee or oath		1052	50	2052	25	Surcharge - Late provisional Filing Fee or cover sheet		1053	130	1053	130	Non-English specification		1812	2,520	1812	2,520	For Filing a Request for Reexamination. (ex parte)		1251	110	2251	55	Extension for reply within first month		1252	420	2252	210	Extension for reply within second month		1253	950	2253	475	Extension for reply within third month		1254	1,480	2254	740	Extension for reply within fourth month		1255	2,010	2255	1,005	Extension for reply within fifth month		1402	330	2402	165	Filing a brief in support of an appeal		1403	290	2403	145	Request for oral hearing		1814	110	2814	55	Terminal Disclaimer Fee		1452	110	2452	55	Petition to Revive - unavoidable		1453	1,330	2453	665	Petition to Revive - unintentional		1501	1,330	2501	665	Utility/Reissue issue fee (inc. advance copies)		1502	480	2502	240	Design issue fee (inc. advance copies)		1460	130	1460	130	Petitions to the Commissioner		1807	50	1807	50	Petitions related to provisional applications		1806	180	1806	180	Submission of Information Disclosure Statement		8021	40	8021	40	Recording each patent assignment per property (times number of properties)		Other Fee (specify) _____						Subtotal (1) \$ 770			Subtotal (3) \$			Number Claims			Prior**	Extra	Fee from Below	Total	21	20	1	18	18	Indep.	2	3	0	86	0	Multiple Dependent Claims						** Insert 3 and 20, or number previously paid if greater; Reissue see below						Large Entity			Small Entity			Fee	Fee	Fee	Fee	Description		<u>Code</u>	<u>(\$)</u>	<u>Code</u>	<u>(\$)</u>			1202	18	2202	9	Claims in excess of 20		1201	86	2201	43	Independent claims in excess of 3		1203	290	2203	145	Multiple Dependent Claims		1204	86	2204	43	Reissue Independent Claims over Original Patent		1205	18	2205	9	Reissue claims in excess of 20 and over original patent		Subtotal (2) \$ 18			Subtotal (3) \$		
Large Entity		Small Entity		Fee Description	Fee Paid																																																																																																																																																																																																																																								
Fee	Fee	Fee	Fee																																																																																																																																																																																																																																										
<u>Code</u>	<u>(\$)</u>	<u>Code</u>	<u>(\$)</u>																																																																																																																																																																																																																																										
1051	130	2051	65	Surcharge - Late filing fee or oath																																																																																																																																																																																																																																									
1052	50	2052	25	Surcharge - Late provisional Filing Fee or cover sheet																																																																																																																																																																																																																																									
1053	130	1053	130	Non-English specification																																																																																																																																																																																																																																									
1812	2,520	1812	2,520	For Filing a Request for Reexamination. (ex parte)																																																																																																																																																																																																																																									
1251	110	2251	55	Extension for reply within first month																																																																																																																																																																																																																																									
1252	420	2252	210	Extension for reply within second month																																																																																																																																																																																																																																									
1253	950	2253	475	Extension for reply within third month																																																																																																																																																																																																																																									
1254	1,480	2254	740	Extension for reply within fourth month																																																																																																																																																																																																																																									
1255	2,010	2255	1,005	Extension for reply within fifth month																																																																																																																																																																																																																																									
1402	330	2402	165	Filing a brief in support of an appeal																																																																																																																																																																																																																																									
1403	290	2403	145	Request for oral hearing																																																																																																																																																																																																																																									
1814	110	2814	55	Terminal Disclaimer Fee																																																																																																																																																																																																																																									
1452	110	2452	55	Petition to Revive - unavoidable																																																																																																																																																																																																																																									
1453	1,330	2453	665	Petition to Revive - unintentional																																																																																																																																																																																																																																									
1501	1,330	2501	665	Utility/Reissue issue fee (inc. advance copies)																																																																																																																																																																																																																																									
1502	480	2502	240	Design issue fee (inc. advance copies)																																																																																																																																																																																																																																									
1460	130	1460	130	Petitions to the Commissioner																																																																																																																																																																																																																																									
1807	50	1807	50	Petitions related to provisional applications																																																																																																																																																																																																																																									
1806	180	1806	180	Submission of Information Disclosure Statement																																																																																																																																																																																																																																									
8021	40	8021	40	Recording each patent assignment per property (times number of properties)																																																																																																																																																																																																																																									
Other Fee (specify) _____																																																																																																																																																																																																																																													
Subtotal (1) \$ 770			Subtotal (3) \$																																																																																																																																																																																																																																										
Number Claims			Prior**	Extra	Fee from Below																																																																																																																																																																																																																																								
Total	21	20	1	18	18																																																																																																																																																																																																																																								
Indep.	2	3	0	86	0																																																																																																																																																																																																																																								
Multiple Dependent Claims																																																																																																																																																																																																																																													
** Insert 3 and 20, or number previously paid if greater; Reissue see below																																																																																																																																																																																																																																													
Large Entity			Small Entity																																																																																																																																																																																																																																										
Fee	Fee	Fee	Fee	Description																																																																																																																																																																																																																																									
<u>Code</u>	<u>(\$)</u>	<u>Code</u>	<u>(\$)</u>																																																																																																																																																																																																																																										
1202	18	2202	9	Claims in excess of 20																																																																																																																																																																																																																																									
1201	86	2201	43	Independent claims in excess of 3																																																																																																																																																																																																																																									
1203	290	2203	145	Multiple Dependent Claims																																																																																																																																																																																																																																									
1204	86	2204	43	Reissue Independent Claims over Original Patent																																																																																																																																																																																																																																									
1205	18	2205	9	Reissue claims in excess of 20 and over original patent																																																																																																																																																																																																																																									
Subtotal (2) \$ 18			Subtotal (3) \$																																																																																																																																																																																																																																										

Signature 
 (Christopher R. Christenson)

Reg. No. 42,413

Date 1-20-04

Deposit Account No. 23-1123